



06/16/00

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Washington, D.C. 20231

Express Mail No.: EL631668493US  
Date of Deposit: 16 June 2000



Sir:

Transmitted herewith for filing is the patent application of

Inventor: Mark S. HANN

For: HEADREST ASSEMBLY

(A divisional patent application of co-pending patent application Serial No. 09/435,980, Filing Date: 08 November 1999. Prior application information: Examiner: S. Vu; Group No.: 3636)

Enclosed are:

- ☒ Eight (8) pages of specification, four (4) pages of claims (Claims 1-20), one (1) page of Abstract and two (2) sheets of drawings (Figs. 1-6) ( a true copy of Serial No. 09/435,980, as originally filed).
- ☒ A true copy of the executed Declaration and Power of Attorney for Patent Application from Serial No. 09/435,980. (3 pages)
- ☒ Certificate of Mailing by Express Mail.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Information Disclosure Statement, together with Form PTO-1449.
- ☒ Preliminary Amendment. (2 pages)
- ☒ Return Receipt Postcard.

The filing fee has been calculated as shown below:

	COL. 1	COL. 2	SMALL ENTITY			LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE				\$345	OR		\$690
TOTAL CLAIMS	13* less 20	0	X 09 =		OR	X 18 =	0
INDEPENDENT CLAIMS	2* less 3	0	X 39 =		OR	X 78 =	0
MULTIPLE DEPENDENT CLAIMS PRESENTED			+130=		OR	+260 =	
* IF THE DIFFERENCE IN COL. 1 IS LESS THAN ZERO, ENTER "0" IN COL. 2			TOTAL		OR	TOTAL	690

\*Based upon entry of the Preliminary Amendment

- ☒ A check in the amount of \$690.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-3550. A duplicate copy of this sheet is enclosed.
  - ☒ Any additional filing fees required under 37 CFR 1.16.
  - ☐ Any patent application processing fees under 37 CFR 1.17.

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Kevin D. Erickson  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark S. HANN  
Title: HEADREST ASSEMBLY  
Express Mail No.: EL631668493US  
Date of Deposit: 16 June 2000

Prior Application  
Group No. 3636

Prior Application  
Examiner: S. Vu



**CERTIFICATE OF MAILING BY EXPRESS MAIL**

**Box PATENT APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that the subject divisional patent application is being deposited with the United States Postal Service as Express Mail Post Office to Addressee No. EL631668493US, on 16 June 2000, and is addressed to Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231, together with the items listed below.

- Transmittal Letter, in duplicate
- Eight (8) pages of specification, four (4) pages of claims (Claims 1-20), one (1) page of Abstract and two (2) sheets of drawings (Figs. 1-6) ( a true copy of Serial No. 09/435,980, as originally filed)

Express Mail No.: EL631668493US

- A true copy of the executed Declaration and Power of Attorney for Patent Application from Serial No. 09/435,980. (3 pages)
- Preliminary Amendment. (2 pages)
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- Return Receipt Postcard

Respectfully submitted,



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark S. HANN

Title: HEADREST ASSEMBLY

Express Mail No.: EL631668493US

Date of Deposit: 16 June 2000

Prior Application  
Group No. 3636

Prior Application  
Examiner: S. Vu

**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Please amend the above-identified divisional patent application as follows:

In the specification, on Page 1, before "BACKGROUND OF THE INVENTION", please insert the following:

--CROSS REFERENCE TO RELATED APPLICATION

This application is a divisional of co-pending patent application Serial No. 09/435,980, filed on 08 November 1999.--

In the claims:

Please cancel Claims 1-4 and 6-8 in their entirety, without prejudice.

Express Mail No.: EL631668493US

### REMARKS

By the foregoing, an appropriate cross reference to related application has been added and Claims 1-4 and 6-8, directed to the invention claimed in the pending related application, have been deleted.

Claims 5 and 9-20 are pending in the subject patent application.

An early and favorable action on the merits is solicited.

Should the Examiner want to discuss the foregoing or any matter of form, in an effort to advance this application towards its allowance, the Examiner is urged to telephone the undersigned at the indicated phone number.

Respectfully submitted,



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## BACKGROUND OF THE INVENTION

### Field of the Invention

This invention relates to a headrest assembly including a headrest guide and/or a headrest insert for permitting the sliding movement of a vehicle headrest with minimal efforts.

### Description of Related Art

Automobiles and other vehicles typically include headrests located on the seatbacks to support and/or brace the head and neck of the passenger. Headrests are generally adjustable to accommodate passengers of different heights and body types. An important factor in adjustability of the height of headrests are the efforts required to move the headrest between horizontal positions.

In general, headrests have support rods that are slideably adjustable within guides in the seat. If the guides or the support rods are misaligned, the efforts required to adjust the headrest significantly increase. Mori et al., U.S. Patent 5,895,094, and Collier et al., U.S. Patent 4,779,928, are typical of the prior art wherein a rigid cross bar is positioned between support rods within the headrest.

Wallis, U.S. Patent 5,816,658, and Koike, U.S. Patent 5,713,634, are typical of the prior art having two separate holders or sleeves for accepting the support rods of the headrest. The separate holders or sleeves are generally positioned within supports welded on to the seat frame. Much of the variability in conventional

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headrest assemblies results from the variability in the welded supports on the seat frame.

The above described arrangements may result in misalignment of the support rods and/or the holders or sleeves for accepting the support rods. When such misalignment occurs, the headrest may become difficult to slide with respect to the seat because the centerlines of the support rods are not aligned with the centerlines of the channels of the holders or sleeves accepting the support rods.

Therefore, there is a need for one or more individual components within a headrest assembly that permit self-adjusting and/or aligned sliding engagement between headrest rods and the guides for accepting the headrest rods.

### SUMMARY OF THE INVENTION

A headrest assembly according to a preferred embodiment of this invention contains one or both of a headrest insert and a headrest guide. Headrest rods preferably extend between the headrest insert and the headrest guide.

The headrest insert preferably includes two receivers for accommodating the headrest rods. Each headrest rod includes a fixed end positioned within one receiver and an opposite, moveable end slideably connected with respect to the seat, such as within the headrest guide.

The two receivers on the headrest insert are independently moveable

with respect to each other thereby permitting each headrest rod to find its own center when slideably engaged with respect to the seat. The headrest insert may comprise a taper, an irregular surface, a reduced thickness, a joint and/or a central transition to facilitate flexibility about a central area thereby permitting limited independent movement of the two receivers with respect to one another.

A headrest guide for accepting two headrest rods may also be used in connection with the headrest assembly of this invention. The headrest guide comprises two sleeves, each forming a central channel. A plurality of rigid struts preferably extend between the two sleeves so that centerlines of each channel are parallel with respect to one another. As a result of the struts extending between the sleeves, both sleeves should always be aligned with respect to one another.

It is one object of this invention to provide a headrest assembly wherein the headrest rods are independently moveable for sliding engagement with misaligned headrest guides.

It is another object of this invention to provide a headrest assembly wherein the headrest guides are connected with respect to each other to prevent misalignment of the headrest guides relative to one another.

It is still another object of this invention to provide a headrest having an internal headrest insert that permits movement between opposite ends of the headrest insert.



It is yet another object of this invention to provide a headrest assembly that permits sliding movement of the headrest rods with respect to the headrest guides with minimal efforts.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

The above-mentioned and other features and objects of this invention will be better understood from the following detailed description taken in conjunction with the drawings wherein:

Fig. 1 is a perspective front view of a headrest insert according to one preferred embodiment of this invention;

Fig. 2 is a top view of the headrest insert shown in Fig. 1;

Fig. 3 is a perspective front view of a headrest insert according to one preferred embodiment of this invention;

Fig. 4 is a front view of headrest assembly according to one preferred embodiment of this invention;

Fig. 5 is a perspective front view of a guide frame according to one preferred embodiment of this invention; and

Fig. 6 is a perspective front view of a headrest guide according to one preferred embodiment of this invention.

## DESCRIPTION OF PREFERRED EMBODIMENTS

Headrests are traditionally slideably connected with respect to a seat and typically consist of an inner frame, padding surrounding the frame, and a cover, such as upholstery, around the entire headrest. The inner frame may comprise headrest insert 20, such as shown in Fig. 1 in one preferred embodiment of this invention.

Headrest insert 20 is preferably at least partially molded from a strong, semi-rigid material such as high density polyethylene (HDPE) or other similar material known to those having ordinary skill in the art. According to one preferred embodiment of this invention, headrest insert 20 forms a generally planar, unitary surface. Cost considerations favor molding a unitary headrest insert 20 thereby eliminating the need for the fitting and assembly of multiple components.

Headrest insert 20 preferably includes two receivers 25. The receivers 25 preferably accommodate headrest rods 30. Each headrest rod 30 preferably includes fixed end 33 positioned within one receiver 25. An opposite, moveable end 34 is preferably slideably connected with respect to the seat as described below.

According to a preferred embodiment of this invention, the two receivers 25 are independently moveable with respect to each other. Independent motion of each receiver 25 preferably permits each headrest rod 30 to find its own center when slideably engaged with respect to the seat. Therefore, if the seat or the

headrest is improperly assembled, the headrest will still slide with minimal efforts with respect to the seat.

As shown in Figs. 1 and 2, headrest insert 20 may comprise at least one transition 35, such as a corrugation, bend or similar such irregular surface molded into an approximate center of headrest insert 20. In addition, and as further shown in Figs. 1 and 3, headrest insert 20 may be tapered between the two receivers 25. Headrest insert 20 may include the irregular surface at the maximum taper between the two receivers 25. Alternatively, or in addition, headrest insert 20 may further comprise a reduced thickness between the two receivers 25. The taper, irregular surface, reduced thickness and/or transition 35 results in headrest insert 20 that is flexible about a central area thereby permitting limited independent movement of the two receivers 25 with respect to one another.

According to another preferred embodiment of this invention, headrest insert 20 further comprises joint 40 positioned between the two receivers 25. As shown in Fig. 3, joint 40 may comprise a ball and socket joint or any other arrangement known to those having ordinary skill in the art that permits more than one axis of rotation between the two receivers 25.

Headrest having headrest insert 20 as described above may be connected with respect to a conventional seatback assembly having two independent guides positioned within two welded supports on the seat frame. Headrest insert 20

will self-adjust in the instance where the welded supports or the independent guides are misaligned with respect to one another. Alternatively, headrest insert 20 may be used in connection the headrest guide shown in Figs. 4 and 6.

Fig. 4 and 6 show headrest guide 30 for accepting two headrest rods 30. Headrest guide 50 preferably comprises two sleeves 55, each sleeve 55 forming a central channel 57. The diameter of central channels 57 preferably approximately correspond with the diameter of headrest rods 30 to permit smooth sliding engagement.

A plurality of rigid struts 60 preferably extend between the two sleeves 55 so that centerlines of each channel 57 are parallel with respect to one another. As a result of struts 60 extending between sleeves 55, headrest guide 50 preferably comprises a unitary molded component. Therefore, when one sleeve 55 is misaligned with respect to seat frame 70, the other sleeve 55 is correspondingly misaligned resulting in sleeves 55 aligning with each other.

According to one preferred embodiment of this invention, as shown in Figs. 4 and 6, the plurality of rigid struts 60 comprise a first horizontal strut 60 positioned between a respective upper region of each sleeve 55 of the two sleeves 55; a second horizontal strut 60 positioned between a respective lower region of each sleeve 55 of the two sleeves 55; and two diagonal struts 60 positioned between the upper region and the lower region of each sleeve 55 of the two sleeves 55. This

arrangement of struts 60, results in a strong, yet efficient, connection between sleeves 55 in headrest guide 50.

As shown in Figs. 4 and 5, headrest guide 50 is connected to guide frame 75 that is further positioned within seat frame 70. Guide frame 75 is welded or otherwise affixed with respect to seat frame 70. Guide frame 75 preferably includes cavity 80 to permit insertion and/or attachment of headrest guide 50 to seat frame 70. Headrest guide 50 may be affixed to guide frame 75 with one or more retaining clips 65 positioned with respect to an outer surface of the sleeve 55.

Headrest guide 50 may additionally comprise receptacle 63 positioned within a top portion of each sleeve 55 for engaging headrest cap 68. Headrest cap 68 may be configured to permit fixing the horizontal position of the headrest, such as with a biased latch positioned within notches formed in the headrest rods 30.

While in the foregoing specification this invention has been described in relation to certain preferred embodiments thereof, and many details have been set forth for purpose of illustration, it will be apparent to those skilled in the art that the headrest assembly according to this invention is susceptible to additional embodiments and that certain of the details described herein can be varied considerably without departing from the basic principles of the invention.

I CLAIM:

1. A headrest comprising:  
a headrest insert having two receivers, the two receivers independently moveable with respect to each other;  
two headrest rods, each headrest rod having a fixed end positioned within a receiver of the two receivers.
2. The headrest of Claim 1 wherein the headrest insert further comprises at least one transition molded into an approximate center of the headrest insert.
3. The headrest of Claim 1 wherein the headrest insert forms a generally planar, unitary surface.
4. The headrest of Claim 3 wherein the headrest insert further comprises a corrugation positioned along the generally planar, unitary surface.
5. The headrest of Claim 1 wherein the headrest insert further comprises a joint positioned between the two receivers.

6. The headrest of Claim 1 wherein the headrest insert is tapered between the two receivers.

7. The headrest of Claim 6 wherein the headrest insert further comprises an irregular surface at a maximum taper between the two receivers.

8. The headrest of Claim 1 wherein the headrest insert comprises a reduced thickness between the two receivers.

9. A headrest assembly comprising:  
a headrest guide having two sleeves, each sleeve forming a channel, the two sleeves rigidly connected with respect to one another so that the channel of each sleeve is parallel with the other;  
a headrest insert having a flexible coupling; and  
two generally parallel rods, each rod fixed at one end with respect to the headrest insert and slideable at an opposite end with respect to one channel in the headrest guide.

10. The headrest assembly of Claim 9 wherein the headrest guide is an integrally molded, unitary component.

11. The headrest assembly of Claim 9 wherein the headrest guide is connected with respect to a seat frame of a vehicle.

12. The headrest assembly of Claim 9 wherein the headrest rods are fixed to the headrest insert on opposite sides of the coupling.

13. The headrest assembly of Claim 9 wherein the headrest insert tapers from each outer end toward a center portion.

14. A headrest guide for accepting two headrest rods, the headrest guide comprising:

two sleeves, each sleeve forming a channel; and

a plurality of rigid struts extending between the two sleeves so that centerlines of each channel are parallel with respect to one another.

15. The headrest guide of Claim 14 wherein the headrest guide is a unitary molded component.

16. The headrest guide of Claim 14 wherein each sleeve of the two sleeves further includes at least one retaining clip positioned with respect to an outer



surface of the sleeve.

17. The headrest guide of Claim 14 wherein each sleeve of the two sleeves further comprises a receptacle positioned within a top portion of the sleeves, the receptacle for engaging a headrest cap.

18. The headrest guide of Claim 14 wherein the plurality of rigid struts comprise:

a first horizontal strut positioned between a respective upper region of each sleeve of the two sleeves;

a second horizontal strut positioned between a respective lower region of each sleeve of the two sleeves; and

two diagonal struts positioned between the upper region and the lower region of each sleeve of the two sleeves.

19. The headrest guide of Claim 14 wherein the headrest guide is connected to a guide frame that is further positioned within a seat frame.

20. The headrest guide of Claim 14 wherein the plurality of rigid struts are integrally molded with respect to the two sleeves.

## ABSTRACT

A headrest assembly wherein a headrest guide and/or a headrest insert permit adjustment of the headrest even when one or more components of the headrest assembly are misaligned or out of tolerance. The headrest guide includes two sleeves, each sleeve forming a channel, the two sleeves rigidly connected with respect to one another so that the channel of each sleeve is parallel with the other. The headrest insert includes a flexible coupling that permits two generally parallel headrest rods to self-align with respect to the channels of the headrest guide.

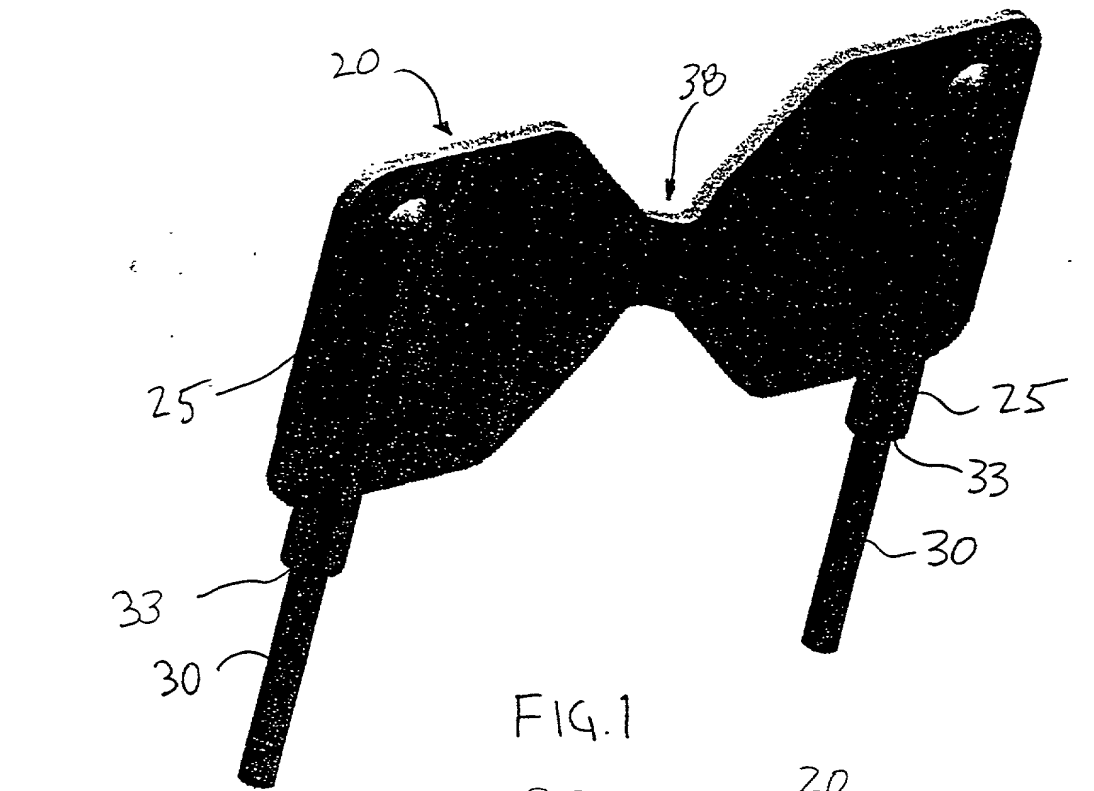


FIG. 1

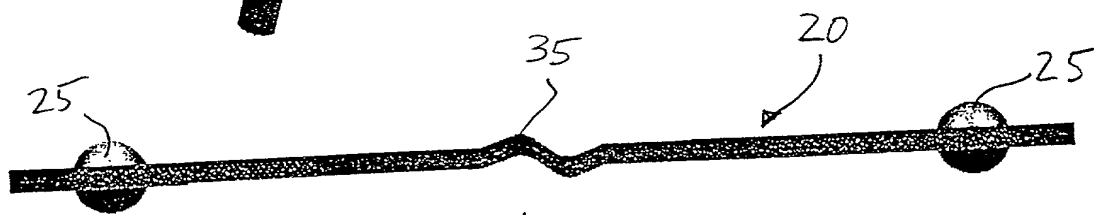
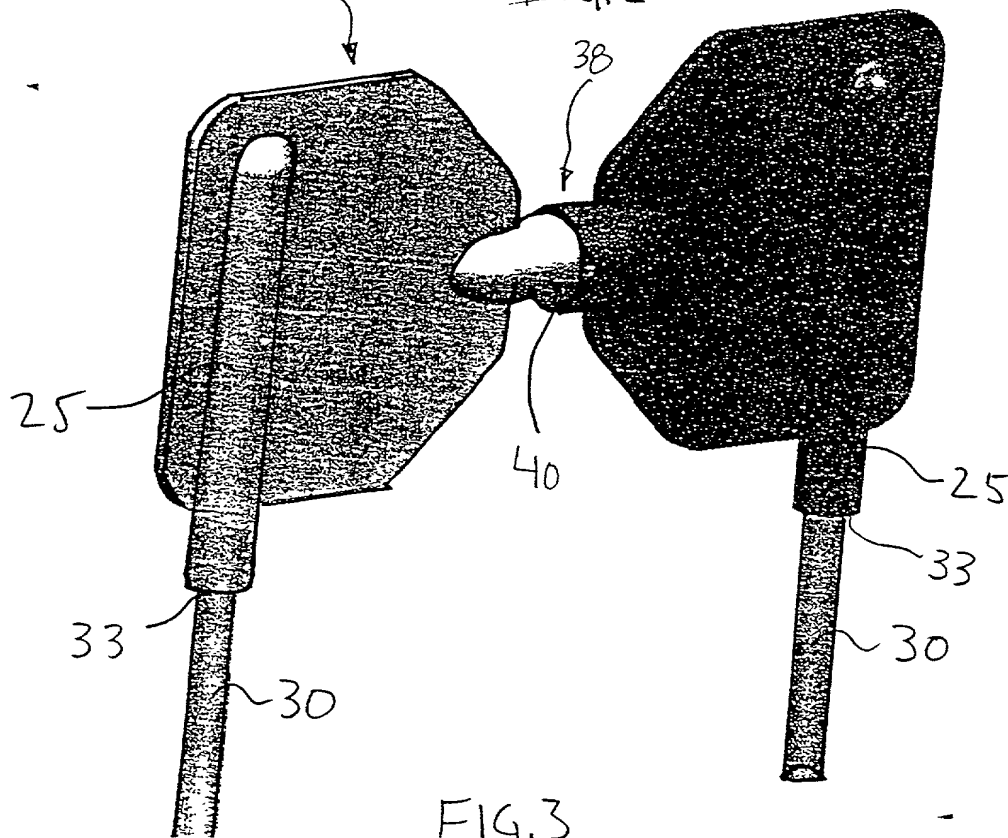


FIG. 2



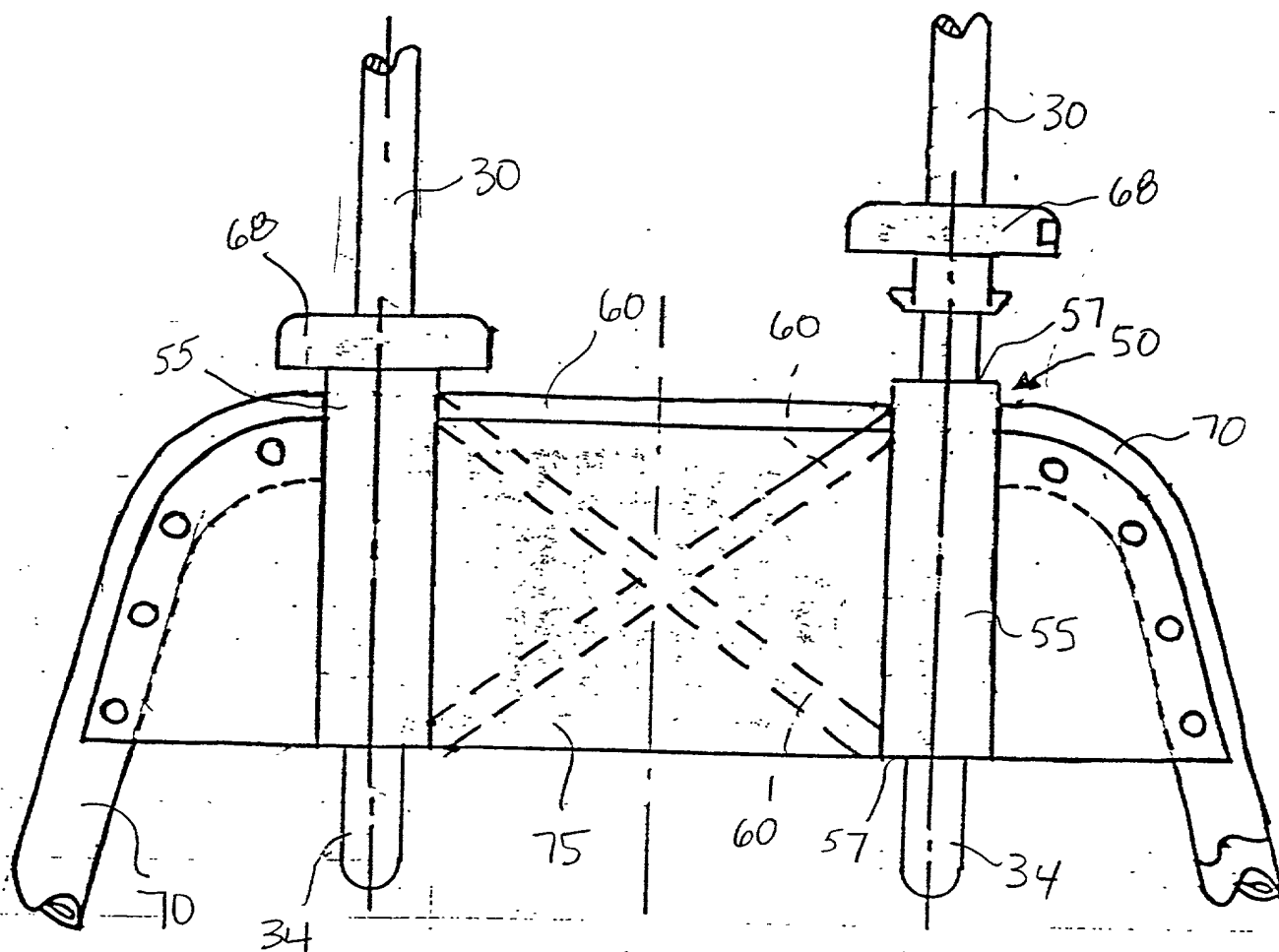


FIG. 4

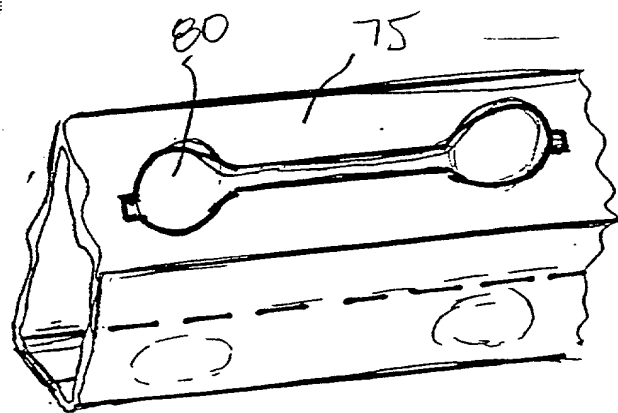


FIG. 5

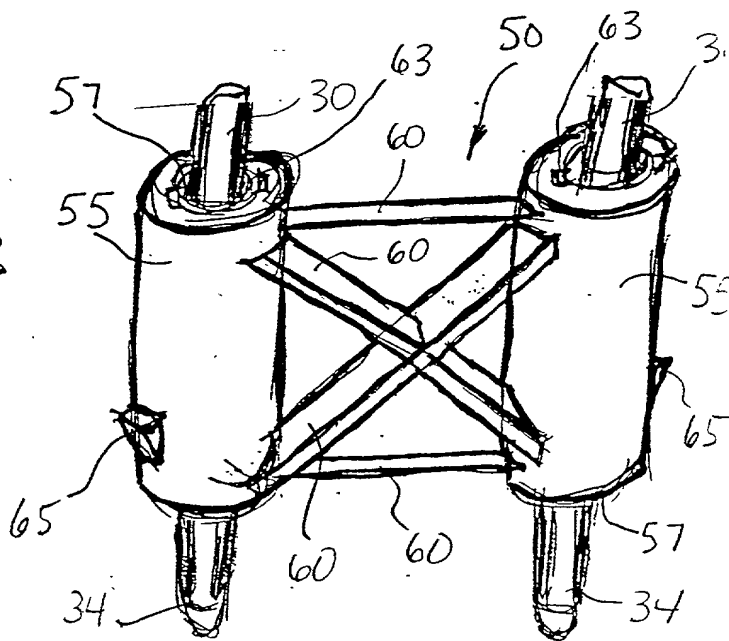


FIG. 6

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# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**Headrest Assembly**

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States Application No. or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

None

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

COPY

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

None	
(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)

COPY

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

None		
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

COPY

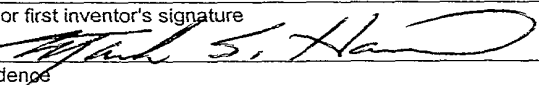
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Thomas W. Buckman	25,756
John P. O'Brien	22,764
Donald J. Breh	30,159
Mark W. Croll	31,098
Lisa M. Soltis	40,623

COPY

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**John P. O'Brien at (847) 657-4075**

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Signature of sole or first inventor	Date
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Second inventor's signature	Date
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